

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 9891-000010/US

First Inventor Thomas J. WOOD

Title NASAL INTERFACE AND SYSTEM INCLUDING VENTILATION  
INSERTExpress Mail Label No. 22154 U.S. PTO  
10/658769  
09/10/03

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.  
See 37 CFR 1.27.
- Specification [Total Pages ]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets ]
- Oath or Declaration [Total Pages ]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
  - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Commissioner for Patents  
Box Patent Application  
P.O. Box 1450  
Alexandria, VA 22313-1450

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 C.F.R. §3.73(b) Statement  Power of (when there is an assignee) Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation  Divisional  Continuation-in-part (CIP)

of prior application No: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

 Customer Number or Bar Code Label

30593

30593  
(Insert Customer No. or Attach bar code label here)or  Correspondence address below

Name	Harness, Dickey & Pierce, P.L.C.				
Address	P.O. Box 8910				
City	Reston	State	VA	Zip Code	20195
Country	United States of America	Telephone	703-668-8000	Fax	703-668-8200

Name (Print/Type)	Timothy J. Maier	Registration No. (Attorney/Agent)	51,986
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Signature	Timothy J. Maier	Date	September 10, 2003
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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

17224 U.S. PTO  
09/10/03

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FEE TRANSMITTAL  
for FY 2003

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 516

Complete if Known	
Application Number	NEW APPLICATION
Filing Date	September 10, 2003
Inventor(s)	Thomas J. WOOD et al.
Examiner Name	
Group / Art Unit	
Attorney Docket No.	9891-000010/US

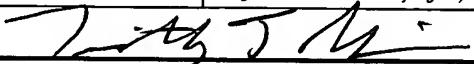
METHOD OF PAYMENT (check one)	
1. <input type="checkbox"/>	The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
Deposit Account Number	08-0750
Deposit Account Name	Harness, Dickey & Pierce, P.L.C.
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	
2. <input checked="" type="checkbox"/>	Payment Enclosed:
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other	

FEE CALCULATION						
1. BASIC FILING FEE						
Large Entity	Fee (\$)	Small Entity	Fee (\$)	Fee Description	Fee Paid	
101	750	201	375	Utility filing fee	375	
106	330	206	165	Design filing fee		
107	520	207	260	Plant filing fee		
108	750	208	375	Reissue filing fee		
114	160	214	80	Provisional filing fee		
SUBTOTAL (1)				(\$ 375)		
2. EXTRA CLAIM FEES						
Total Claims	31	-20*	= 11	Extra Claims	Fee from below	Fee Paid
Independent Claims	4	-3**	= 1	X 9	= 99	
Multiple Dependent	0			X 42	= 42	
				X 0	= 0	
Large Entity	Fee (\$)	Small Entity	Fee (\$)	Fee Description	Fee Paid	
103	18	203	9	Claims in excess of 20		
102	84	202	42	Independent claims in excess of 3		
104	280	204	140	Multiple dependent claim, if not paid		
109	84	209	42	** Reissue independent claims over original patent		
110	18	210	9	** Reissue claims in excess of 20 and over original patent		
SUBTOTAL (2)				(\$ 141)		

\*\*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)					
3. ADDITIONAL FEES					
Fee Code	Large Entity Fee (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	
105	130	205	65 Surcharge - late filing fee or oath		
127	50	227	25 Surcharge - late provisional filing fee or cover sheet		
139	130	139	130 Non-English specification		
147	2,520	147	2,520 For filing a request for reexamination		
112	920*	112	920* Requesting publication of SIR prior to Examiner action		
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action		
115	110	215	55 Extension for reply within first month		
116	410	216	205 Extension for reply within second month		
117	930	217	465 Extension for reply within third month		
118	1,450	218	725 Extension for reply within fourth month		
128	1,970	228	985 Extension for reply within fifth month		
119	320	219	160 Notice of Appeal		
120	320	220	160 Filing a brief in support of an appeal		
121	280	221	140 Request for oral hearing		
138	1,510	138	1,510 Petition to institute a public use proceeding		
140	110	240	55 Petition to revive – unavoidable		
141	1,300	241	650 Petition to revive – unintentional		
142	1300	242	650 Utility issue fee (or reissue)		
143	470	243	235 Design issue fee		
144	630	244	315 Plant issue fee		
122	130	122	130 Petitions to the Commissioner		
123	50	123	50 Processing fee under 37 CFR 1.17 (q)		
126	180	126	180 Submission of Information Disclosure Stmt		
581	40	581	40 Recording each patent assignment per property (times number of properties)		
146	750	246	375 Filing a submission after final rejection (37 CFR § 1.129(a))		
149	750	249	375 For each additional invention to be examined (37 CFR § 1.129(b))		
179	750	279	375 Request for Continued Examination (RCE)		
169	900	169	900 Request for expedited examination of a design application		
Other fee (specify) _____					
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3)	(\$)

\*\*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY						Complete (if applicable)
Name (Print/Type)	Timothy J. Maier	Registration No. Attorney/Agent)	51,986	Telephone	703-668-8000	
Signature				Date	September 10, 2003	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION SHEET

Applicant(s): Thomas J. WOOD, Shara HERNANDEZ, and Bruce SHER

Application No: **NEW APPLICATION**

Filed: September 10, 2003

For: **NASAL INTERFACE AND SYSTEM INCLUDING VENTILATION  
INSERT**

Send correspondence to : **HARNESS, DICKEY & PIERCE, P.L.C.**  
P.O. Box 8910  
Reston, VA 20195  
(703) 668-8000

The above information is submitted to advise the United States Patent and Trademark Office of all relevant facts in connection with the present application. A timely executed Declaration in accordance with 37 CFR 1.64 will follow.

Respectfully submitted,

By

  
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TJM:jcp